

# BENEFITS OF COLLABORATING WITH YOUR OSJ OF PEERS

*Why*

ROSSELOT FINANCIAL GROUP

Culture of Family, Growth, & Service

Since 1986

500M+ of Assets Under Management

Dedicated Client Relationship Specialists

Proven Workflows

Succession Planning & Agreement

Transparent Financials

Social Media Presence & Branding

Improvement Dollars

Charitable Contribution Matching

Results Oriented Work Environment

Advisor Assistant Program

*Why*

CAMBRIDGE INVESTMENT  
RESEARCH INC.

Culture of Integrity, Commitment,  
Flexibility, & Kindness

Asset Management & Financial Planning  
Solutions with Portfolio Overlay  
Management

Platform Choices Comprised of a  
Corporate RIA or Independent RIA  
for Dual Registration  
or Fee-Only Business Models

Multiple Clearing & Custodial Platforms

Clearing:  
Fidelity Institutional® & Pershing

Custodian:  
Charles Schwab, TD Ameritrade (TDA),  
Fidelity Institutional Wealth Services  
(FIWS),  
& Pershing Advisory Solutions (PAS)

A Full Spectrum of Middle Office Services  
Designed for Solo/Ensembles, Branches,  
& Super Branches

Live Events:  
Ignite, Leader's Club, Signature Club,  
Premier Club, & Circle of Excellence

Succession & Acquisition Financial Lending

Outsourcing Services Centered on  
Independent Financial Professional Firms,  
including Business Consulting, Client  
Marketing, & Virtual Office Assistants

Multiple-Time Recipient of the  
Top Workplace Iowa  
& Top Workplace USA Awards

Not For Sale, Not Now, Not Ever

*Why*

INTERDEPENDENT ADVISORS

Culture of Collaboration,  
Growth & Flexibility

60+ Financial Professionals

16M of GDC Annually

Annual IA Roundtable Conference

Technology Discounts:  
Redtail, Riskalyze,  
Black Diamond, Tifin,  
Website Development, & IT Support

Dedicated Support Team

IA Strats:  
Management of Team Directed Models

Transition Assistance & Training

Payout Sharing Partnership (PSP)

Compensation Continuation Program

Health Insurance Available

Virtual Assistant Program

Advisor & Admin Chats

Shared Marketing Collateral

